UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF TEXAS AMARILLO DIVISION

STATE OF TEXAS, et al.,

Plaintiffs,

Case No. 2:24-cy-00089-Z

v.

BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES, et al.,

Defendants.

CERTIFICATION OF ADMINISTRATIVE RECORD

I, Vivian S. Chu, pursuant to 28 U.S.C. § 1746, declare and state as follows:

I am currently the Division Chief, Office of Regulatory Affairs, Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF). The Office of Regulatory Affairs serves as ATF's official point of contact for all matters relating to the creation of and/or changes to ATF's regulations and rulings, and also serves as the official liaison to the Department of Justice on all rulemaking projects. The information in this certification is based upon my personal knowledge or on information provided to me in the course of my official duties.

The materials listed in the index below, to the best of my knowledge, comprise the administrative record in the above referenced civil action.

INDEX TO THE ADMINISTRATIVE RECORD

- 1. Bipartisan Safer Communities Act, Public Law No. 117-159, 136 Stat. 1313 (2022)
- 2. Executive Order 14092, "Reducing Gun Violence and Making Our Communities Safer," 88 Fed. Reg. 16527 (3/14/23)
- 3. 44 Fed. Reg. 75186 (12/19/79)
- 4. 45 Fed. Reg. 20930 (3/31/80)
- 5. Memorandum for Assistant Director, Regulatory Enforcement, ATF, from Chief, Regulations and Procedures Division, ATF, re: Evaluation of Comments Received Concerning a Definition of the Phrase "Engaged in the Business," Notice No. 331 (6/9/80)
- 6. Memorandum for Director, ATF, from Assistant Director (Regulatory Enforcement), ATF, re: Engaged in the Business, Notice No. 331 (6/12/80)
- 7. The Federal Firearms Owner Protection Act: Hearing on S. 914 Before the Committee on the Judiciary, 98th Cong., 1st Sess. (1983)
- 8. Federal Firearms Owner Protection Act, S. Rep. No. 98-583, 98th Cong., 2d Sess. (1984)
- 9. Firearms Owners' Protection Act, Public Law No. 99-308, 100 Stat. 449 (1986)
- 10. Public Law No. 99-360, 100 Stat. 766 (1986)
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- 13.168 Cong. Rec. H5906 (daily ed. 6/24/22)
- 14. William J. Krouse, Cong. Research Serv., IF12197, Firearms Dealers "Engaged in the Business" (8/19/22)
- 15. Letter to the Attorney General, Director of the FBI, Director of ATF, from Senators Cornyn and Tillis (11/1/22)
- 16. ArmsList Firearms Marketplace and list of vendors
- 17. U.S. Bureau of Labor Statistics: Employer Costs for Employee Compensation

- 18. Congressional Budget Office: Comparing the Compensation of Federal and Private-Sector Employees, 2011 2015
- 19. U.S. Department of Transportation Memo: "Revised Departmental Guidance on Valuation of Travel Time in Economic Analysis" (9/27/16)
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- 21. Salary Table 2023-DCB (Effective January 2023)
- 22. Pew Research Center, America's Complex Relationship with Guns (6/22/17)
- 23. Notice of Proposed Rulemaking, "Definition of 'Engaged in the Business' as a Dealer in Firearms," 88 Fed. Reg. 61993 (9/8/23)
- 24. Project Metrics Report, "Definition of 'Engaged in the Business' as a Dealer in Firearms," (ATF-2023-0002)
- 25. Total Number of Comments Received with further breakdowns
- 26. Chart categorizing comments (10/4/23)
- 27. Citation by Issue Report, "Definition of 'Engaged in the Business' as a Dealer in Firearms," Issue Numbers: 1 1.4; 2 2.8; 3 3.7; 4 4.11; 5; 6; 7; 8; 9
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- 29. Email FW: Information Request: GS Levels and time to process FFL Applications, dated 2/9/23
- 30. Email RE: Information Request: Est Timeframe for IOI to Perform Initial Inspection, dated 2/13/23
- 31. Email RE: FFL revocations: CY 2018-2022, dated 2/27/23 with two attachments: a list of license revocations and a list of denials of renewal of license
- 32. Email RE: Wait time between applications for FFL and approval, dated 6/26/23
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- 34. Edward Helmore, "Gun purchases accelerated in the US from 2020 to 2021, study reveals," (12/20/21)

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- 68. DOJ Press Release, "Sebring Resident Sentenced to Prison for Unlawfully Dealing Firearms on Facebook" (11/7/16)

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- 81. In the Matter of Scott's Tactical Advantage, Application Nos. 9-93-019-01-PA-05780 and 05781 (Seattle Field Division) (4/3/18)
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- 89. Website: Return Exceptions Policy, Big 5 Sporting Goods
- 90. Website: Returns, Transfers & Consignments, DFW Gun Range & Academy
- 91. Website: Return Policy RifleGear
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- 103. ATF Industry Circular 72–30, *Identification of Personal Firearms on Licensed Premises Not Offered for Sale* (10/10/72)

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- The Well News, *Booker Bill Takes Aim at Gun Fire Sale Loophole* (9/9/22)
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- 113. ATF Ruling 2010–1, Temporary Assignment of a Firearm by an FFL to an Unlicensed Employee (5/20/10)
- 114. ATF, "Does an Officer or Employee of an Entity That Holds a Federal Firearms License, Such as a Corporation, Have to Undergo a NICS Check When Acquiring a Firearm for Their Own Personal Collection?" (May 2020), www.atf.gov
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- 131. ATF, National Firearms Commerce and Trafficking Assessment (NFCTA): Crime Gun Intelligence and Analysis, Volume Two, Part II: National Tracing Center Overview (1/11/23)
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- 179. National Public Radio, Semi-Automatic Weapons Without a Background Check Can Be Just A Click Away (6/17/16)
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- 186. Final Rule, "Definition of 'Engaged in the Business' as a Dealer in Firearms," 89 Fed. Reg. 28968 (4/19/24)

I certify under penalty of perjury that the foregoing is true and correct.

Executed on this 17th day of September, 2024.

Vivian S. Chu Division Chief Office of Regulatory Affairs Bureau of Alcohol, Tobacco, Firearms and Explosives